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May 31, 2006

TO: Examiner Christian Laforgia  
GAU 2131  
U.S. Serial No. 09/592,404

Company: U.S. Patent and Trademark Office

Fax #: 571-273-8300

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### Mail Stop Amendment

FROM: James M. Hannon

6527

Our Ref. #:

05456.105045

NUMBER OF PAGES (including transmittal sheet): 6

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#### Documents Submitted Via Facsimile:

Applicant: Nicolas J. Hammond et al.

Serial No.: 09/592,404

Filed: June 13, 2000

For: Method and Apparatus for Auditing Network Security

Papers Faxed: Transmittal Sheet (1-pg.); Form PTO/SB/122 (1-pg.); Revocation and Appointment of Power of Attorney (2-pgs.) and Form PTO/SB/96.

3757246 v1

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PATENTS

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In re application of:

Docket No.: 05456.105045

Nicolas J. Hammond et al.

Serial No.: 09/592,404

Art Unit: 2131

Filed: June 13, 2000

For: Method and Apparatus for Auditing  
Network Security

Examiner: Christian Laforgia

Confirmation No.: 5767

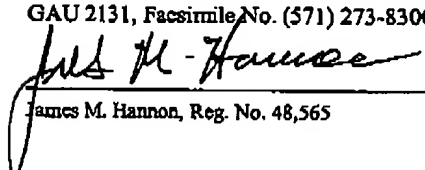
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Sir:

Transmitted herewith are papers in the above-identified application.

- ☒ Form PTO/SB/96
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GAU 2131, Facsimile No. (571) 273-8300 on May 31, 2006.

  
James M. Hannon, Reg. No. 48,565

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Telephone: 404.572.4600

By:   
James M. Hannon, Reg. No. 48,565

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PTO/SB/122 (01-08)

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Application Number	09/592,404
Filing Date	June 13, 2000
First Named Inventor	Nicolas J. Hammond
Art Unit	2131
Examiner Name	Christian Laforgia
Attorney Docket Number	05456.105045

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- ☐ Applicant/Inventor
- ☐ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/98).
- ☒ Attorney or agent of record. Registration Number 48,565
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Signature

Typed or Printed  
Name James M. Hannon

Date May 31, 2006

Telephone 404-572-4691

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 2 forms are submitted.

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Nicholas J. Hammond, et alApplication No./Patent No./Control No.: 09/592,404 Filed/Issue Date: June 13, 2000Entitled: METHOD AND APPARATUS FOR AUDITING NETWORK SECURITYINTERNET SECURITY SYSTEMS

(Name of Assignee)

a corporation

(Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

In the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011538, Frame 0829, or a true copy of the original assignment is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Sean Bowen

Signature

Sean Bowen

Printed or Typed Name

Vice President and General Counsel

Title

5/25/06

Date

404-236-2600

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Nicolas J. Hammond

Serial No. 09/592,404

Filed: June 13, 2000

For: METHOD AND APPARATUS FOR  
AUDITING NETWORK SECURITY

Examiner: Christian Laforgia

Art Unit: 2131

POWER OF ATTORNEY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned authorized representative of the owner of the above-styled patent application, Method and Apparatus for Auditing Network Security, hereby **REVOKES** any and all powers of attorney previously given in the above-identified application; and

The undersigned hereby **APPOINTS**:

Sherry M. Knowles	Registration No. 33,052
W. Scott Petty	Registration No. 35,645
Clark G. Sullivan	Registration No. 36,942
Holmes J. Hawkins	Registration No. 38,913
Steven P. Wigmore	Registration No. 40,447
A. Shane Nichols	Registration No. 43,836
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Stephanie D. Adams	Registration No. 47,378
James M. Hannon	Registration No. 48,565

Serial No. 09/592,404

Charles E. Thorpe, Jr.	Registration No. 48,782
Natasha Home Moffitt	Registration No. 53,340
Michael S. Pavento	Registration No. 42,985
Madeline I. Johnston	Registration No. 36,174

its attorneys, with full power of substitution and revocation, to prosecute said application, to make alterations and amendments therein, to receive the patent, and to transact all business in the Patent and Trademark Office and in the Courts in connection therewith.

It is requested that future Patent and Trademark Office correspondence in this case be directed to:

James M. Hannon  
KING & SPALDING, LLP  
191 Peachtree Street, 45<sup>th</sup> Floor  
Atlanta, Georgia 30303-1763

Direct all telephone calls to W. Scott Petty at (404) 572-4600.

Date:

7/1/04

By:



Name: Sean Bowen

Title:

Vice President & General Counsel

K&S Docket: 05456.100001 (Auditing Security)

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